



# Giving Methodology

Shared Prosperity Group, LLC

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# Overview

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Shared Prosperity Group's (SPG) "structural generosity" giving program is a new approach that directly, sustainably, and structurally links wealth creation in the for-profit sector to high-impact social needs that markets do not profitably and/or reliably address. Its goal is to raise the economic floor and reduce wealth disparity, strengthening a healthier, more resilient, and more diverse economic system in the United States and abroad, without relying on political movements, tax-code changes, or added burdens on investors.

The program is a permanent feature of the business, written directly into SPG's operating agreement, and is designed to move beyond token, performative philanthropy by making generosity part of the firm's core infrastructure. Each month, SPG donates at least 10% of its monthly gross revenue, calculated on a cash basis, to SPG-vetted U.S. 501(c)(3) organizations, funded solely by SPG, not client assets.

SPG independently determines donation amounts, timing, and recipients, and any input from clients or prospects is optional and nonbinding, with no authority to select recipients. SPG neither requests nor accepts charitable contributions from clients or prospects, and the giving does not affect fees, returns, investment recommendations, or portfolio management, nor does it create tax deductions for clients. To amplify impact, SPG may occasionally designate a quarterly "boost month" in which that month's entire donation is directed to a single high-impact organization.

## Governance and Oversight

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All giving decisions are managed internally, with external audits planned at various growth milestones:

- The **Director of Charitable Giving** oversees sourcing, diligence, and disbursement.
- The **Chief Compliance Officer (CCO)** maintains executive oversight, compliance, and generosity architecture.
- All giving is documented on [sharedprosperitygroup.com](https://sharedprosperitygroup.com) with receipts held internally for no less than six years.
- Increasing Governance at Scale:
  - New CCO with dedicated responsibilities.
  - Independent audits planned at key growth milestones.



# Areas of Focus

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Clients are surveyed for their giving preferences across six broad cause categories. SPG aggregates these surveys to inform its giving decisions. Until sufficient scale is reached, SPG retains discretion to choose one to two recipients per category, prioritizing operational maturity and strategic fit. As scaling expands, SPG will eventually cover virtually all areas of philanthropy:

## Health & Well-Being

- **Clinical Care & Behavioral Health** - Providing medical treatment and mental health support, like doctor visits, therapy, medicines, and addiction care.
- **Family, Disability, Aging, & Caregiving** - Supporting families, older adults, and people with disabilities by making caregiving easier, safer, and more affordable, and by protecting their dignity and independence.
- **Public Health, Biosecurity, & Health Systems** - Protecting communities from disease by strengthening clinics and health workers, improving safety against dangerous germs, and making sure care still works during big outbreaks.
- **Nutrition & Healthy Living** - Encouraging good eating and everyday habits, like balanced meals, exercise, sleep, and simple choices that help people feel better and stay well.
- **Palliative, End-of-Life, & Serious Illness Support** - Supporting people with serious illnesses by managing pain and symptoms, offering comfort and emotional care, and helping families through hard choices and goodbyes.

## Environmental Sustainability & Animal Welfare

- **Climate Mitigation, Adaptation, & Resilience** - Cutting climate pollution and helping people and nature stay safe from climate harms, like heat waves, floods, fires, droughts, and storms.
- **Conservation, Biodiversity, & Restoration** - Restoring nature by protecting wild places, saving different plants and animals, and helping damaged land and water heal again.
- **Pollution, Toxics, & Circular Economy** - Reducing harmful waste and chemicals by keeping air, water, and soil cleaner, and reusing and recycling materials so less stuff gets thrown away.
- **Sustainable Food, Land, Water, & Oceans** - Protecting the systems that feed us by using land and water wisely, keeping oceans healthy, and making sure food and fishing don't destroy nature.
- **Animal Welfare & Human-Animal Coexistence** - Keeping animals safe and treated kindly, and helping people and animals live together without fear, harm, or conflict.



## Education, Knowledge & Culture

- **Early Learning, K-12, & Learning Recovery** - Helping kids learn well from the start by improving preschool and schools, and giving extra support to catch up when learning was disrupted.
- **Workforce, Skills, & Economic Mobility Education** - Preparing people for better jobs by teaching useful skills, offering training and certificates, and helping them move into careers that pay enough to get ahead.
- **Research, Science, & Innovation** - Advancing new knowledge by funding research and inventions that solve problems, improve lives, and lead to better tools, medicines, and ideas.
- **Digital Literacy, Media, & Information Ecosystems** - Helping people use technology wisely, understand what they see online, and find trustworthy information instead of rumors or lies.
- **Arts, Culture, Heritage, & Creative Production** - Supporting creative life by funding artists and cultural groups, protecting heritage, and helping more people make and enjoy art, music, stories, and traditions.

## Economic Opportunity, Mobility & Security

- **Financial Inclusion, Consumer Finance, & Wealth-Building** - Expanding financial opportunity by helping people access fair banking and credit, avoid money traps, and build savings and wealth over time.
- **Entrepreneurship, Small Business, & Local Economies** - Growing local opportunity by helping small businesses start and succeed, create jobs, and keep money circulating in the community.
- **Good Jobs, Worker Power, & Future of Work** - Strengthening work for everyone by improving pay and safety, giving workers a real voice, and preparing for how jobs will change with new technology.
- **Housing, Homelessness Prevention, & Community Development** - Helping people have a stable place to live by preventing homelessness, building affordable homes, and strengthening neighborhoods with supportive services.
- **Safety Nets, Nonprofit Capacity, & Social Infrastructure** - Building a stronger support system by improving basic help like food and housing aid, strengthening nonprofits, and investing in community places and services people rely on.

## Justice, Rights & Democracy

- **Civil Rights, Inclusion, & Anti-Discrimination** - Protecting people from unfair treatment by making sure everyone is included, treated with respect, and has the same rights and opportunities.



- **Access to Justice & Legal Services** - Helping people get fair treatment by making legal help affordable and understandable, and ensuring courts and services work for everyone.
- **Justice System Reform & Community Safety** - Improving safety and fairness by changing policing and prisons, reducing harm, and supporting community programs that prevent crime in the first place.
- **Democracy, Governance, & Accountability** - Keeping government fair and trustworthy by protecting voting, making leaders answer for their actions, and helping people take part and speak up.
- **Digital Rights, Privacy, & Civic Technology** - Defending people online by protecting privacy, supporting digital rights, and building tech that helps communities use government services and information more easily.

## Humanitarian Response & Peacebuilding

- **Rapid Humanitarian Relief** - Delivering fast, life-saving aid, like food, clean water, shelter, and medical care, when emergencies hit.
- **Refugees, Displacement, & Protection** - Protecting people forced to flee by helping them find safety, legal support, shelter, and a fair chance to rebuild their lives.
- **Humanitarian Health, WASH, & Service Delivery** - Providing essential care in crises by bringing medical help, clean water, toilets, hygiene supplies, and reliable services to people who need them most.
- **Recovery, Reconstruction, Development, & Infrastructure** - Rebuilding after disasters or conflict by restoring homes, roads, schools, and services, and helping communities grow stronger for the long run.
- **Peacebuilding, Conflict Prevention, & Trauma Healing** - Reducing violence and helping people heal by preventing conflicts, bringing groups together to rebuild trust, and supporting recovery from trauma.

## Selection Process

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### Step 1: Sourcing

Candidates are identified through targeted research, referrals, and sector scans. Priority is given to underfunded, high-leverage interventions with potential for systemic change.

### Step 2: Qualification

Only U.S.-based organizations with valid 501(c)(3) status are eligible for funding. All recipients must be listed in the [IRS Tax Exempt Organization Search](#) and are checked periodically.



SPG will cease funding any 501(c)(3) that:

- Engages in partisan political activity (the IRS also enforces this).
- Requires adherence to a religion or promotes one as a condition of aid.
- Lacks transparency or fails to demonstrate measurable impact.
- Uses unsustainable or dependency-based giving models.

## Step 3: Allocation

Recipients are reviewed regularly and giving is inspired by aggregate client survey data with practical considerations surrounding internal impact-ROI analysis and prevention of cause spotlighting bias. Initial allocations may be limited due to financial capacity, with the number of recipients expanding as the firm scales.

## Step 4: Documentation

Each grant is logged with full public transparency and receipts are archived for a period of no less than six years.

## Boost Months

In addition to SPG's ongoing monthly giving, the firm reserves the option to designate the first month of each calendar quarter as a "Boost Month." During these months, SPG may direct the entire month's charitable allocation to a single nonprofit of strategic interest. Boost Months are designed to elevate community visibility and flexibly support urgent local needs while maintaining SPG's broader commitment to client-informed allocations over time.

## Mission Alignment

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Organizations with the following characteristics are prioritized:

- A focus on important but unprofitable problems that private markets aren't addressing.
- Evidence-based interventions grounded in rigorous data and validated outcomes.
- Interventions that do not rely on permanent subsidy and utilize clear beneficiary feedback loops in operations.

## Legal and Regulatory Compliance

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Compliance safeguards include:



- Funding only IRS-qualified 501(c)(3) organizations
- Where required by state law, named grant recipients must sign and maintain a commercial co-venturer agreement with SPG prior to receiving grants
- Receipts and records are retained for a minimum of six years
- Clear segregation between SPG operating revenue and client assets
- Regular audit readiness and fiduciary documentation



# Important Disclosures

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**Registration and Scope:** Shared Prosperity Group, LLC (SPG) is a registered investment adviser (RIA) and provides advisory services only where registered, notice-filed, or exempt. Registration does not indicate any specific skill or training.

## **Giving Program:**

- **Purpose and Duration:** SPG's giving program, called "structural generosity," is written directly into its operating agreement as a permanent feature of the business. It's designed to move beyond token, performative philanthropy in finance by making generosity part of the firm's core infrastructure, consistently directing capital to high-impact needs without adding burdens to clients, sparking contentious political fights, or depending on changes to existing tax policy.
- **Commitment:** Every month, SPG donates at least 10% of its gross revenue (cash basis) to SPG-vetted U.S. 501(c)(3) organizations, funded solely by SPG, not client assets.
- **Control:** SPG independently determines donation amounts, timing, and recipients. Input from clients or prospects, including surveys, is nonbinding. They have no authority or control over the program and do not select recipients.
- **No Solicitation or Client Impact:** SPG neither requests nor accepts charitable contributions from clients or prospects. Donations are funded entirely by SPG revenue. Clients are never asked to contribute, and giving does not affect fees, returns, investment recommendations, or portfolio management. Clients do not receive tax deductions.
- **Boost Months:** Each quarter, SPG may direct an entire month's donation to a single high-impact organization to amplify its impact.

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**Performance and Risk:** All investing involves risk, including the potential loss of principal. Past performance does not guarantee future results.

For the most current disclosures and information, visit: [sharedprosperitygroup.com](https://sharedprosperitygroup.com).

